

12/06/01

J1057 U.S. PTO

12-10-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

17625-0025

Named Inventor(s)

Mark G. Allen, et al.

Title

Microneedle Devices and Methods of  
Manufacture and Use Thereof

Express Mail Label No.

EL 851 691 125 US

J1000 U.S. PTO  
10/010723

12/06/01

## APPLICATION ELEMENTS

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

- |  |  |
|--|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form<br/>(Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification, Claims,<br/>and Abstract <span style="float: right;">Total Pages <b>47</b></span></p> <p>4. <input checked="" type="checkbox"/> Drawings <span style="float: right;">Total Sheets <b>7</b></span></p> <p>5. Oath or Declaration <span style="float: right;">Total Pages <b>2</b></span><br/> a. <input type="checkbox"/> executed (original or copy)<br/> b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR 1.63(d))<br/> (for continuation/divisional with Box 19<br/>completed)<br/> (i) <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/> Signed statement attached deleting<br/>inventor(s) named in the prior<br/>application, see 37 CFR 1.63(d)(2)<br/>and 1.33(b).</p> <p>6. <input checked="" type="checkbox"/> Incorporation by Reference<br/>(usable if Box 5b is checked)<br/> The entire disclosure of the prior application, from which<br/>a copy of the oath or declaration is supplied under Box<br/>5b, is considered as being part of the disclosure of the<br/>accompanying application and is hereby incorporated<br/>by reference therein.</p> <p>7. <input type="checkbox"/> Nonpublication Request Under 35 U.S.C.<br/>122(b)(2)(B)(i).<br/> <input type="checkbox"/> Form PTO/SB/35</p> <p>8. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>9. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br/>Computer Program (Appendix)</p> | <p>10. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence<br/>Submission (if applicable, all necessary)<br/> a. <input type="checkbox"/> Computer Readable Form (CRF)<br/> b. <input type="checkbox"/> Specification Sequence Listing on:<br/> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br/> ii. <input type="checkbox"/> paper<br/> c. <input type="checkbox"/> Statement verifying identity of above<br/>copies</p> <p>11. <input type="checkbox"/> Assignment:<br/> a. <input type="checkbox"/> Assignment Papers (cover sheet &amp;<br/>document(s))<br/> b. <input type="checkbox"/> Assignment is of record in parent<br/>application No. [insert serial/patent<br/>number]</p> <p>12. <input type="checkbox"/> 37 CFR 3.73(b) Statement<br/>(when there is an assignee)<br/> <input type="checkbox"/> Power of Attorney by assignee</p> <p>13. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>14. <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-<br/>1449<br/> <input type="checkbox"/> Copies of IDS Citations</p> <p>15. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>16. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/>(Should be specifically itemized)</p> <p>17. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Other: <u>Copy of Change of Correspondence</u><br/> <u>Address from prior application</u><br/> _____<br/> _____</p> |
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19. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information and in a preliminary amendment, or in an Application Date Sheet under 37 CFR 1.76:  
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: **09/316,229**  
Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: **3763**  
Recite complete dependency back to first parent application: **The present application is a continuation of U.S. Application Serial No. 09/316,229 filed May 21, 1999, which is a continuation-in-part of U.S. Application Serial No. 09/095,221, filed June 10, 1998.**

20. CORRESPONDENCE ADDRESS:  
Kevin W. King, Esq. By: Ken W. King Reg. No. 42,737  
SUTHERLAND ASBILL & BRENNAN LLP Date: December 6, 2001  
999 Peachtree Street, NE Telephone: 404-853-8068  
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ATTACH CUSTOMER BAR CODE LABEL BELOW



29052

PATENT &amp; TRADEMARK OFFICE

**FEE TRANSMITTAL**

Attorney Docket No. 17625-0025

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): Mark G. Allen, Mark R. Prausnitz, Devin V. McAllister, and Florent Paul Marcel Cros

Serial No: CON of 09/316,229

Filing Date: December 6, 2001

Title: "Microneedle Devices and Methods of Manufacture and Use Thereof"

The filing fee is calculated as shown below:

**1. FILING FEE:**

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$370	370.00	\$740	
<input type="checkbox"/> DESIGN FILING FEE	\$165		\$330	
<input type="checkbox"/> PLANT FILING FEE	\$255		\$510	
<input type="checkbox"/> REISSUE FILING FEE	\$370		\$740	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80		\$160	
SUBTOTAL (1)		370.00		\$

**2. CLAIMS:**

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY		LARGE ENTITY	
			RATE	FEE	RATE	FEE
TOTAL CLAIMS	1 - 20 =	0	x 9 =		X 18 =	
INDEP. CLAIMS	1 - 3 =	0	x 42 =		X 84 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+140 =		+280 =	
SUBTOTAL (2)						\$

**3. ADDITIONAL FEES:**

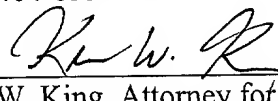
FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)				\$

**TOTAL FILING FEES:**

A check is enclosed for the total amount:

☐ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 19-5029.

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By:   
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Date: December 6, 2001